

# LoNTI lectures: on SYK and the emergence of spacetime

Lecturer: Damián Galante (KCL)

Dates & Time: Mondays 21, 28, 7, 14 @ 10:30 hs.

(pizza afterwards)



⊛ Round of names

⊛ Format: Informal, please ask many questions

BUT bear in mind this is intended for 1<sup>st</sup> year PhD students.

Plan for lectures:

Maybe: extra  
Mathematical lecture  
w/ Kolya Bronov

① Intro & motivation

TODAY!!

② JT-gravity &  $n$ AdS<sub>2</sub> spacetimes

③ The SYK model

④ thermodynamics & correlators in the IR: the emergence of spacetime

MAIN GOAL

: Show that the thermodynamics & correlation functions of the SYK model in the IR are those of a "black-hole" in 2d spacetime.

\* computation of a particular 4pt function: the out-of-time-ordered.

What I'll assume  
you know :

Basic GR :  $\nabla_\mu$ ,  $\Gamma^\alpha_{\mu\nu}$ ,  $R^\alpha_{\mu\sigma\rho}$ , geodesics, etc.

Killing symmetries, Einstein action  
Some idea of BH-thermo.

Basic QFT : Green's functions, Feynman diagrams  
Some idea of what conformal symmetry is.  
Path integral formalism

What I don't  
assume you know :

AdS spacetimes, string theory, SUSY, ...

AdS/CFT

## Bibliography :

\* Lecture notes by Gábor Sarosi

arXiv: 1711.08482

"AdS<sub>2</sub> holography and the SYK model"

\* Maldacena, Stanford & Yang

"Conf. symmetry & its breaking in two-dimensional nearly Anti-de Sitter space"

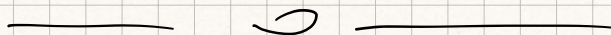
arXiv: 1606.01857

\* Maldacena & Stanford

"Comments on the Sachdev-Ye-Kitaev model"

arXiv: 1604.07818

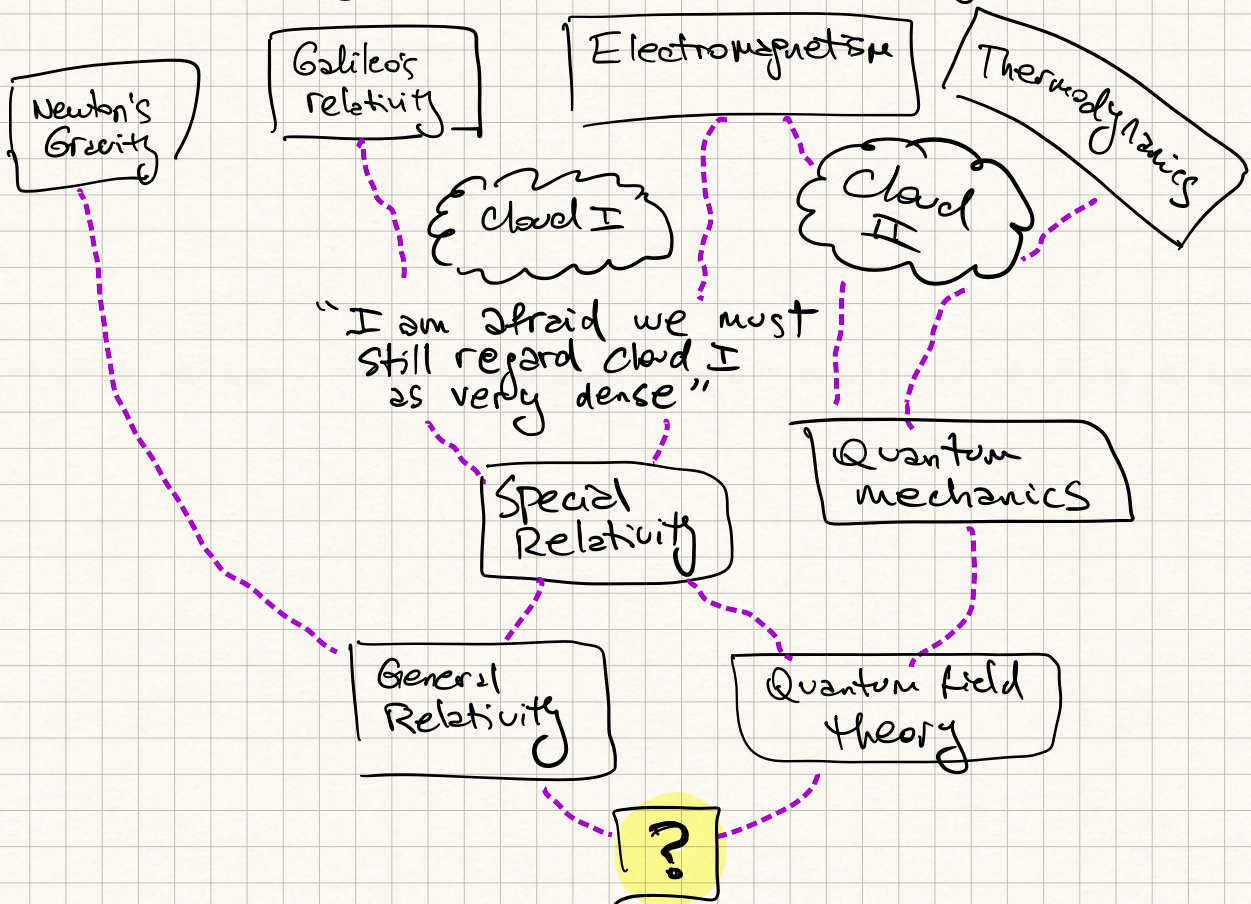
• Others : Videos by Stanford, Rosenhaus, Trunin, etc.



## Lecture 1: Historical overview & motivation

- We are at the RI, so I cannot start these lectures without going through this:

1900: 4 building blocks of fundamental physics



- But we still couldn't find a quantum theory of gravity (maybe string theory??)
- Maybe there's an alternative way of thinking about the problem  $\Rightarrow$  what if gravity is not fundamental but emergent from certain quantum systems?

\* For now let's assume this is the case. Then, what type of quantum system this should be??

↳ Here is where the idea of **holography** starts forming.

⇒ '70's , 
$$S_{\text{BH}} = \frac{A}{4} \frac{k_B c^3}{\hbar G_N} \Rightarrow \text{entropy scales like area??}$$

(From now on  $k_B = c^3 = \hbar = 1$ )

⇒ 't Hooft, Susskind ⇒  $\boxed{\text{Gravity in } d+1\text{D}} \Leftrightarrow \boxed{\text{Quantum system in } d}$

⇒ Maldacena in '98 ⇒  $\boxed{\text{Gravity in } \text{AdS}_{d+1}} \Leftrightarrow \boxed{\text{CFT in } d \text{ dimensions}}$

But  $d > 1$ . Already in '98 Maldacena, Michelson, Strominger pointed out about "the enigmatic case of  $d=1$ ".

⇒ Two reasons to study  $\text{AdS}_2$ :

① On the quantum side, the theory should be a "0+1" dimensional theory ⇒  $\boxed{\text{IT HAS NO SPATIAL DIMENSIONS}}$   
⇒ everything happens at a single point that evolves in time.

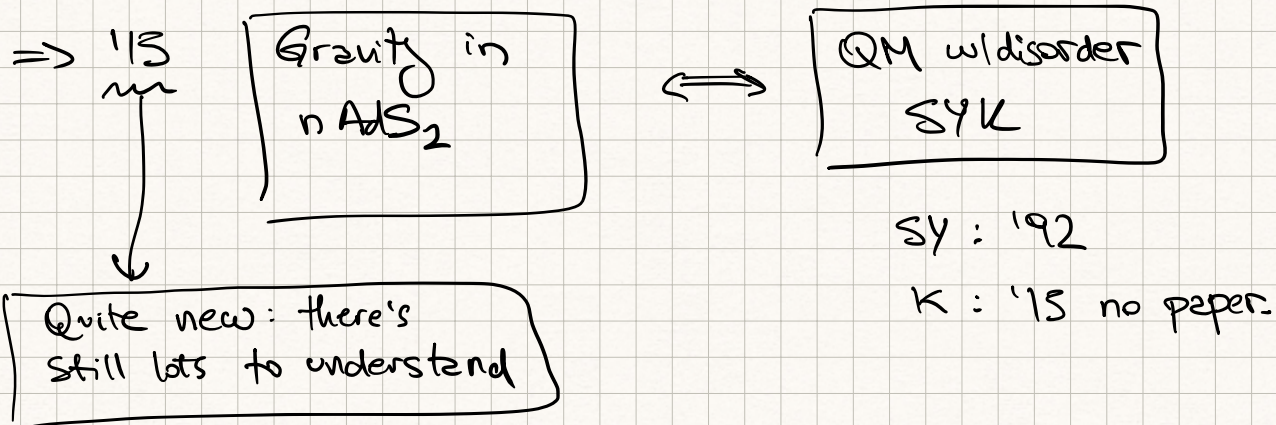
⊛ Best place to actually see the emergence of spacetime (Borges, "The Aleph")

\* But it can also be "the easiest": the dual theory will have finite  $N$  degrees of freedom as opposed to the QFT versions in higher dimensions. (might even be computationally tractable).

(2) Practical reason:  $AdS_2$  appears <sup>universally</sup> as the near-horizon geometry of (nearly) extremal black holes in higher dimensions.

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But still, it took more than 15 years to get to the story I want to teach you in these lectures:



Plan for today:

\* Show that  $AdS_2$  appears in near-horizon geometries

\* Discuss some properties of  $AdS_2$  space

\* Show how JT gravity appears in the game.

# 1) The Reissner-Nordstrom black hole.

Consider the following theory in 4d:

$$S_L = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} R - \frac{1}{4} \int d^4x \sqrt{-g} F_{\mu\nu} F^{\mu\nu} + S_{\text{matter}}$$

(classical GR coupled to electromagnetism + some matter)

this theory admits the following solution:

$$ds^2 = -f(r) dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_2^2 \quad f(r) = 1 - \frac{2M}{r} + \frac{Q^2}{r^2}$$

$$(S_{\text{matter}} = 0)$$

$$A = \frac{Q}{r} dt$$

This is known as the RN-BH

of course, one can first ask what is the position of the horizon: [Penrose diagram]

$$f(r_h) = 0 \Rightarrow \text{Quadratic equation} \Rightarrow r_{h\pm} = M \pm \sqrt{M^2 - Q^2}$$

(two horizons)

$$M \geq Q$$

$$\text{If } Q=0 \Rightarrow r_h = 2M$$

$$\text{According to BH formula} \Rightarrow S_{\text{BH}} = \frac{\pi r_{h+}^2}{G_N}$$

And we can also compute the temperature of this BH:

$$T_H = \frac{1}{4\pi} f'(r_h) = \frac{r_{h+} - r_{h-}}{4\pi r_{h+}^2}$$

Note now that something interesting happens when  
 $M \sim Q \Rightarrow r_{h+} \sim r_{h-} \Rightarrow T_H \approx 0$ . But still  
 $r_{h+} \neq 0$  &  $S_{BH} \neq 0$ !!!

this is called the **extremal limit**. [Penrose diagram]

\* Let's see how the geometry looks close to the horizon. We will define a dimensionless parameter

$$\boxed{\epsilon \equiv \frac{M-Q}{Q}} \quad \text{at fixed } Q.$$

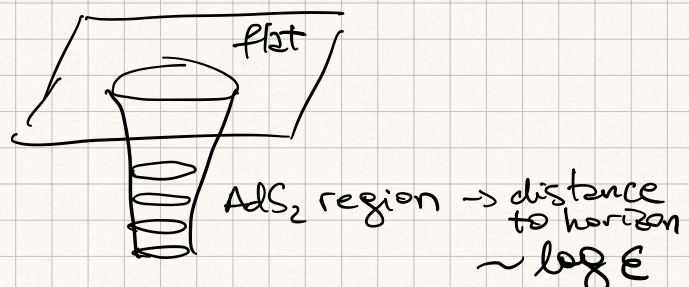
For small  $\epsilon$ , we have  $r_h \approx Q(1 + \sqrt{2\epsilon} + \dots)$

The near horizon geometry can be obtained by expanding close to  $r_h \rightarrow r = Q(1 + \sqrt{2\epsilon} s)$   
 $t = \frac{Q}{\sqrt{2\epsilon}} \tau$

$$\Rightarrow \left[ \frac{ds^2}{Q^2} = -s^2 dz^2 + \frac{ds^2}{s^2} + d\Omega_2^2 \right]$$

$$ds_{\text{ext}}^2 = \text{AdS}_2 \times S^2$$

[Penrose diagram]



If we look at the correction to the temperature and entropy to leading order in  $\epsilon$  we obtain:

$$T_H = \frac{\sqrt{\epsilon}}{\sqrt{2\pi} Q} + \mathcal{O}(\epsilon)$$

$$S_{\text{BH}} = \frac{\pi Q^2}{6N} (1 + 2\sqrt{2\epsilon} + \dots)$$

$$= \frac{\pi Q^2}{6N} (1 + 4\pi Q T_H + \dots)$$

↳ linear in temperature!!

## ② A few words about $\text{AdS}_2$

$\text{AdS}_2$  is the maximally symmetric solution with negative curvature.

\*  $\text{AdS}_2$  (in contrast with  $\text{AdS}_{d+1}$ ) has 2 boundaries

\* the Penrose diagram is an infinite strip



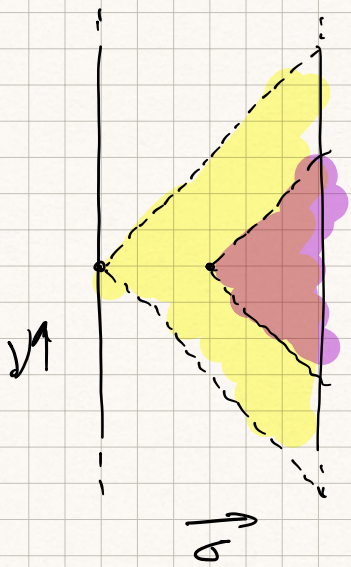
In global coordinates the metric is given by:

$$ds^2 = \frac{-dv^2 + d\sigma^2}{\sin^2 \sigma}$$

It becomes infinitely large at the boundaries where  $\sigma = 0, \pi$ .

And global time  $v \in [-\infty, \infty]$





Other coordinates cover different regions of the Penrose diagram.

One typical one is the Poincaré patch where  $ds^2 = \frac{-dt^2 + dz^2}{z^2}$

with  $z \geq 0$

the Rindler or Black hole patch is given by

$$ds^2 = ds^2 - \sinh^2 \rho d\hat{z}^2 = -(r^2 - 1) d\tau^2 + \frac{dr^2}{(r^2 - 1)}$$

(2.1) Symmetries: For this we will use Poincaré coordinates

$$ds^2 = \frac{-dt^2 + dz^2}{z^2}$$

\* A maximally symmetric spacetime in  $d$  dimensions has  $\frac{d(d+1)}{2} \Rightarrow \text{AdS}_2$  has 3 killing vectors

HW: Verify that  $\xi_H = \partial_t$ ,  $\xi_D = t\partial_t + z\partial_z$

$$\xi_K = \left(\frac{z^2 + t^2}{2}\right)\partial_t + tz\partial_z$$

Under the Lie bracket they generate the  $SL(2, \mathbb{R})$  algebra

$$[\xi_H, \xi_D] = -\xi_H, \quad [\xi_D, \xi_K] = -\xi_K$$

$$[\xi_H, \xi_K] = -2\xi_D$$

Another way of seeing very explicitly how the  $SL(2, \mathbb{R})$  symmetry appears is by noting that the Poincaré Patch is invariant under:

$$z \pm t \rightarrow \frac{a(z \pm t) + b}{c(z \pm t) + d}, \quad ad - bc = 1$$

(Möbius transformations)

\* A third way: the hyperboloid in embedding space.  
 $-y_{-1}^2 - y_0^2 + y_1^2 = -1$ , embedded in  $\mathbb{R}^{2,1}$  ( $ds^2 = -dy_{-1}^2 - dy_0^2 + dy_1^2$ )

## 2.2 Euclidean AdS

Many times it will be useful to do computations in Euclidean space. We take  $t \rightarrow it$  and we make  $t$  periodic  $\Rightarrow$  generates the famous hyperbolic disk

$$ds^2 = \frac{dt^2 + dz^2}{z^2} = \frac{ds^2 + dz^2}{\sinh^2 s} = ds^2 + \sinh^2 s dz^2.$$

[Escher drawing]

## 2.3 What's wrong with $AdS_2$ ?? (comment on the two-boundaries)

• Einstein gravity in 2d is topological. Consider

$$S_{EH} = \left[ \int_{\mathcal{M}} d^2x \sqrt{g} R + 2 \int_{\partial \mathcal{M}} K \right]$$

$2\pi K$  for any metric

\* Another way of saying this is that the

E.O.M. give  $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 0$  (as always)

but in 2d  $R_{\mu\nu} = \frac{1}{2} R g_{\mu\nu}$  for any metric  
so the E.O.M vanishes identically.

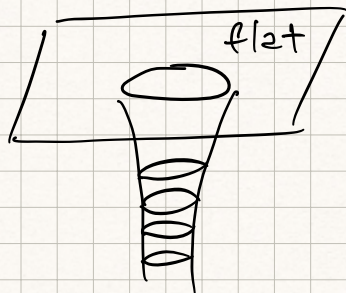
Yes,  $AdS_2$  is a solution but so are all other metrics.

\* Moreover, we might want to couple this theory to matter  $\Rightarrow$  it looks like we can only do  $T_{\mu\nu}^{matter} = 0 \Rightarrow$  "Gravity is over-constrained in 2d!"

\* It is only a theory of ground states but it cannot account for excitations.

### ③ The appearance of JT Gravity

So what do we do? we will take inspiration from the behaviour of higher dimensional black holes near extremality.



$\rightarrow AdS_2 \times S^2 \sim$  so the radius is almost constant but what if we allow it to vary slowly as we move in the  $AdS_2$ ??

$$\Rightarrow \frac{Area}{16\pi G_N} = \bar{\Phi}_0 + \phi, \text{ where } \bar{\Phi}_0 \gg \phi$$

the calculation is a bit lengthy and I don't want to get into the details but basically what we need to do is:

\* Start in 4d with:

$$S_L = \frac{1}{16\pi G_N} \int d^4x \sqrt{g} R - \frac{1}{4} \int \sqrt{g} F_{\mu\nu} F^{\mu\nu}$$

We assume a spherically symmetric ansatz for the metric and Field strength

$$ds^2 = h_{ij} dx^i dx^j + e^{2\psi} d\Omega_2^2$$

$$F = Q \sin\theta d\phi \wedge d\theta$$

Now we plug this in the action and do the angular integrals. the result looks like

$$S_L \sim \frac{1}{G_N} \int d^3x \sqrt{h} \left[ e^{2\psi} (R_h + 2(\partial\psi)^2) + 2 - \frac{G_N}{2} e^{-2\psi} Q^2 \right]$$

Next we call  $e^\psi \equiv \Phi$ . In the extremal case  $\Phi^2 = \Phi_0$  constant, but we want to slightly deviate from that  $\Rightarrow \Phi^2 = \Phi_0 + \phi$  with  $\Phi_0 \gg \phi$

$$\Rightarrow S_{JT} = \frac{\Phi_0}{16\pi G_N M} \int d^3x \sqrt{h} R_h + \frac{1}{16\pi G_N M} \int d^3x \sqrt{h} \phi (R_h + 2) + \dots$$

$(+2 \int_M K)$                        $(+2 \int_M \phi(K))$

⇒ This is what we call JT gravity theory. It has not only the metric but also a dilaton field (that can be seen as the size of the transverse space in higher dimensions).

The existence of this running dilaton will break some of the symmetries of  $AdS_2$  and will make the theory more interesting.

⇒ Next lecture we will study this theory in depth and understand its basic properties.

### \* HOMEWORK EXERCISES

① Hawking temperature of black holes. Assume you have a metric of the form:

$$ds^2 = -f(r) dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_2 \quad \text{and that } f(r)$$

has a simple zero at  $f(r_h) = 0$ .

a) Take  $t \rightarrow -it_E$

b) Expand the metric close to  $r \sim r_h$

c) Make the change of coordinates

$$\rho = 2 \sqrt{\frac{r-r_h}{f'(r_h)}}; \quad \theta = \frac{t_E}{2} f'(r_h)$$

to realise the metric looks like the planar metric

d) Impose the right periodicity to avoid the conical singularity. You should find that if  $t_c \sim t_c + \beta \Rightarrow \beta = \frac{1}{T} = \frac{4\pi}{f'(r_h)}$ .

- ② Repeat this procedure for the near-extremal Reissner-Nordström black hole to verify the results in the notes.

Also compute the Area of the horizon and see that it is proportional to  $r_{\text{H}}^2$ .

- ③ Verify that the Killing vectors of  $\text{AdS}_2$  satisfy the  $\text{SL}(2, \mathbb{R})$  algebra.

- ④ Show that the Poincaré patch metric is invariant under  $z \pm t \rightarrow \frac{a(z \pm t) + b}{c(z \pm t) + d}$ ,

with  $ad - bc = 1$ . Can you check which parts of the Penrose diagram are covered by these transformations??

- ⑤ Verify the claim that in 2 spacetime dimensions,  $R_{\mu\nu} = \frac{1}{2} R g_{\mu\nu}$  for any metric  $g_{\mu\nu}$ .