

# LoNTI lectures : on SYK and the emergence of spacetime

(I)

## Lecture 2 : on JT gravity and $n$ AdS<sub>2</sub>

\* Nikolay Gromov agreed to record a lecture on SYK model using Mathematica.

Very brief recap : \* Holography in 2d is interesting by its own.

Linear in T  
specific  
heat!!

\* Near-extremal black holes in higher dimensions have a near-horizon geometry of AdS<sub>2</sub> × S<sup>2</sup>.

\* The effective action in 2d is that of Jackiw-Teitelboim gravity (JT)

TODAY : \* We will study JT gravity theory.  
\* We will show it reduces to a boundary theory.  
\* We will also show its thermodynamics reproduce the linear-in-T specific heat.

(2.1) JT gravity action : (we will work in Euclidean signature)

$$S_{JT} = -\frac{\phi_0}{16\pi G_N} \left[ \int_{\mathcal{M}} d^2x \sqrt{g} R + 2 \int_{\partial\mathcal{M}} \sqrt{h} K \right]$$

$$-\frac{1}{16\pi G_N} \left[ \int_{\mathcal{M}} d^2x \sqrt{g} \phi (R+2) + \int_{\partial\mathcal{M}} \sqrt{h} \phi K \right]$$

A few comments about this action:

- ① the obvious one: it is 2-dimensional. It has a metric  $g_{\mu\nu}$  and a scalar field  $\phi$ , that we will call the dilaton.
- ② the first term is topological (proportional to the Euler characteristic of  $\mathcal{M}$ ).
- ③ Inspired in higher-d we want to study  $\Phi = \phi_0 + \phi$ , where  $\phi_0 \gg \phi$  (JT is the leading correction to pure  $AdS_2$ ).
- ④ We will study the theory in Euclidean signature (I might make a few comments about the Lorentzian theory at the end)  $\rightarrow$  why?
  - \* Thermodynamics
  - \* Correlators easier to compute.
  - \* Lorentzian correlators by analytic continuation.

— 0 —

The problem is not well-defined without imposing boundary conditions (Dirichlet):  $\rightarrow$  dimensional constant!!

$$\phi|_{\text{bdy}} = \phi_b = \frac{\tilde{\phi}}{\epsilon}; \quad h|_{\text{bdy}} = \frac{\tilde{h}^2}{\epsilon^2}$$

\* Now let's look at the equations of motion for the metric and the dilaton:

$$\frac{\delta}{\delta \phi} : R+2=0 \Rightarrow R=-2$$

(this won't change even if we add matter, given it does not interact with  $\phi$ )

huge simplification in 2d  
 $\downarrow$   
 the metric is locally  $AdS_2$ .

$$\frac{\delta}{\delta h} : \frac{1}{8\pi G} (\nabla_\mu \nabla_\nu \phi - g_{\mu\nu} \nabla^2 \phi + g_{\mu\nu} \phi) = 0$$

$$T_{\mu\nu} \phi = 0.$$

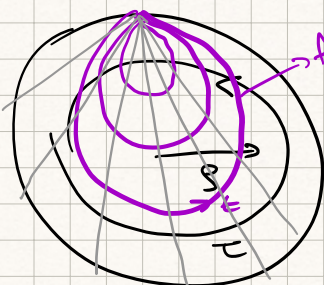
\* Comment on path integral formulation:  
 (we are really integrating out the dilaton)

$$Z = \int Dg D\phi e^{-S[g, \phi]}$$

(if you properly choose the contour of integration)

$$\int Dg \int D\phi e^{-i\int \phi (R+2)} = \int Dg \delta(R+2)$$

\*  $R=-2 \Rightarrow$  geometry is Euclidean  $AdS_2$ :



We will use two sets of coordinates to describe  $EAdS_2$ . Both cover the full disk:

- $\tau$  cylinder  $\rightarrow$   $i$   $\tau$  cylinder  
 $ds^2 = d\tau^2 + \sinh^2 \tau dZ^2$
- $t$  Poincaré  $\rightarrow$   $i$  Euclidean  
 $ds^2 = \frac{1}{z^2} (dt^2 + dz^2)$

We will see in what sense this describes a 2d black hole.

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For now, we will use Poincaré coordinates

In these coordinates:  $\phi = \frac{\alpha + \gamma t + \delta(t^2 + z^2)}{z} \rightarrow \exists$  integration const!!

Here is where boundary conditions become important.

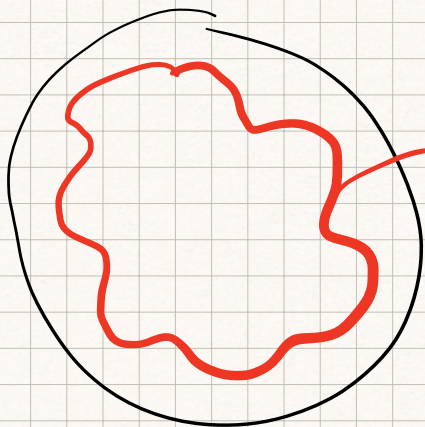
As we go to the boundary  $z \rightarrow 0 \Rightarrow \phi \rightarrow \infty$   
 $h \rightarrow \infty$ .

But we said we wanted  $\phi_0 \gg \phi$  (note that  $\phi_0$  is always an external parameter)  
 $\Rightarrow$  the solution to this is imposing the boundary conditions and cutting off a piece of spacetime

(In the end there will be  $\epsilon$ -independent states)

(\*) Implementing the boundary conditions

- How do we fix the length of the body?



$$\mathcal{C} = \int t(u), z(u)$$

$\downarrow$   
 $u$  is boundary time

For simplicity for now we will set  $\tilde{h} = 1$  (you can always rescale the cut-off)

$$\Rightarrow \frac{1}{\epsilon^2} = \frac{t'(u)^2 + z'(u)^2}{z(u)^2}$$

⇒ Assuming  $z > 0$ , this gives

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$$z(u) = \varepsilon \sqrt{t'(u)^2 + z'(u)^2} = \varepsilon t'(u) + \mathcal{O}(\varepsilon^3)$$

⇒ So now all the theory depends on just one function  $t(u)$ .

Comment on symmetries:

\* for any  $t(u)$ , the E-H part of the action would give the same result (we said it is topological)

⇒ this can be interpreted as a full symmetry under reparametrisations of  $u \rightarrow f(u)$

Most of this take you from one curve to another

$g|_{\text{bdy}} = \frac{1}{\varepsilon^2} \rightsquigarrow \frac{du^2}{z^2} \rightsquigarrow$  change  $u \rightarrow$  basically change the bdy cond  $\rightarrow$  change the curve

However this is not the case for all curves: translations and rotations of a fixed shape around the hyperbolic disk do not change the part we are cutting.

$$t(u) \rightarrow \frac{a t(u) + b}{c t(u) + d}, \quad ad - bc = 1$$

form an  $SL(2, \mathbb{R})$  subgroup of all reparametrisations and do not change the bdy.

⇒ All curves that cut the hyperbolic disk spontaneously break the reparametrisation symmetry down to  $SL(2, \mathbb{R})$

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(Comment on  $t(u)$  being a Goldstone mode)

↳ they all have the same action

## 2.2 the Schwarzian theory

\* what is the action that rules the behaviour of  $t(u)$ ??

↳ here is where the JT action appears w/ a term w/ a dilaton which will break the reparametrisation symmetry explicitly and give a finite action to  $t(u)$ .

\* So what do we do? we integrate out  $\phi$

$$\Rightarrow S_{JT} = -\frac{1}{16\pi G_N} \left[ \int d^2x \sqrt{g} (R+2)\phi + 2 \int_{\partial M} \sqrt{h} \phi_b K \right]$$

just says that  $R=-2$  & we are left with

$$S_{JT} = -\frac{1}{8\pi G_N} \int_{\partial M} \phi_b K = -\frac{1}{8\pi G_N} \int_{\partial M} \frac{du}{\epsilon} \frac{\tilde{\phi} K}{\epsilon}$$

\* What is  $K$ ?  $\rightarrow$  curvature as seen from  $\mathcal{M}$

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$\rightarrow$  Usually  $K_{ij}^a \rightarrow$  orthogonal  
 $\rightarrow$  surface. Usually the trace  $K^a_{ii}$ .

$\rightarrow$  Matt Headrick: "compendium of useful formulas"

Abstract: Almost everything I know

Remember we had  $\mathcal{C} = \{t(u), z(u)\}$

This defines a tangent vector  $T^a = (t', z')$

note that  $n^a \leftarrow n^a = \frac{z}{\sqrt{t'^2 + z'^2}} (-z', t')$   
is normalised

$$\Rightarrow K = - \frac{h_{ab} T^a T^c \nabla_c n^b}{h_{ab} T^a T^b} = \nabla_a n^a$$

(try using diffeom package from Matt Headrick as well)

The result for any curve  $\mathcal{C}$  in Poincaré coordinates

is:

$$K = \frac{t' (t'^2 + z'^2 + z' z'') - z z' t''}{(t'^2 + z'^2)^{3/2}}$$

But we know that given our boundary conditions

$z(u) = \epsilon t'(u) + \dots$ , so we can evaluate

$K$  as a function of  $t'(u)$  only in the small  $\epsilon$  limit.

The result is:

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$$K = 1 + \epsilon^2 \frac{2t't''' - 3t''^2}{2t'^2} + O(\epsilon^4)$$

Sch(t(u), u) → Schwarzian derivative

So now we can go back to the action

$$S_{JT} = -\frac{1}{8\pi G_N} \int \frac{du}{\epsilon} \frac{\tilde{\Phi}(u)}{\epsilon} (1 + \epsilon^2 \text{Sch}(t(u), u))$$

For now let's assume that  $\tilde{\Phi}(u) = \tilde{\Phi}$  constant

$$\Rightarrow S_{JT} = -\frac{\tilde{\Phi}}{8\pi G_N} \int du \left( \frac{1}{\epsilon^2} + \text{Sch}(t(u), u) \right)$$

↓  
divergent  
as  $\epsilon \rightarrow 0$

⇒ But not physical  
we can subtract  
by local counter-  
terms

$$\Rightarrow S_{JT} = \dots \int \phi_b (k-1)$$

$$\Rightarrow S_{JT} = -\frac{\tilde{\Phi}}{8\pi G_N} \int du \text{Sch}(t(u), u)$$

this is the first important result of the day

SOME COMMENTS: \* Gravity theory becomes a bdy th.

$$ad-bc = 1 \quad * \text{Sch}(t(u), u) = \text{Sch}\left(\frac{at(u)+b}{ct(u)+d}, u\right)$$

It is the lowest derivative local expression that is



invariant under  $SL(2, \mathbb{R})$  transformations. (IX)

\* Now we can look for saddle-point solutions to this theory.

↳ the eom is:  $\overset{\text{HW}}{\left[ \frac{S(t(u), u)}{t'} \right]}' = 0$

$$= \left[ \frac{1}{t'} \left( \frac{t''}{t'} \right)' \right]' = 0 \rightsquigarrow$$

Remember the three integration constants of the dilaton!!

↓  
bdy and bulk thys have the same information

So we need solutions with constant schwarzschild.

(of course  $SL(2, \mathbb{R})$  transformations will work but they are not physical, more like redundancies).

To find different solutions we use a property of the Sch derivative under composition

$$\Rightarrow S(f \circ g, t) = g'^2 S(f, g) + S(g, t)$$

Now if  $t(u) = \tan \frac{\tau(u)}{2}$  → note this takes us from Poincaré to Rindler coordinates.

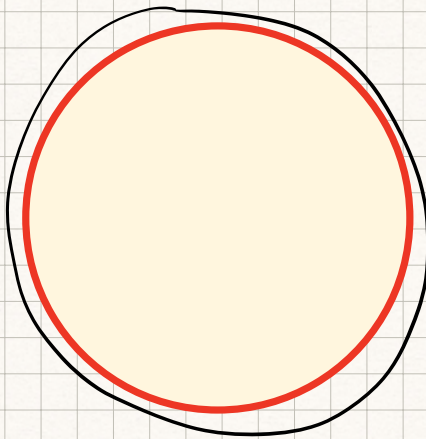
$$\Rightarrow \boxed{S(t, u) = \frac{1}{2} \tau'(u)^2 + S(\tau(u), u)}$$

But now  $\tau(u)$  goes from  $[-\pi, \pi]$  so has periodicity  $2\pi$  ⇒ they describe nice thermal solutions

It is easy to see that  $\tau(u) = \frac{2\pi}{\beta} u$  is a  $\otimes$

solution to  $S(t,u) = \text{constant}!!$  (It's not that easy to see that up to  $SL(2, \mathbb{R})$  transformations it is the only solution).

So what's the solution that we found:



$\tau = \frac{2\pi}{\beta} u$  is a circle close to the geometrical body.

This is what we call a Euclidean black hole!!  
How do black holes look in Euclidean signature??

$$dS^2 = -f(r) dt^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_2^2, \quad f(r_h) = 0.$$

Now we Wick rotate time  $\leadsto dS_e^2 = f(r) dt_e^2 + \frac{dr^2}{f(r)} + r^2 d\Omega_2^2$

Note that we still have a divergence at  $r=r_h$  &  $r=0$ .

But now let's take a look at the geometry close to the horizon:

$$s = 2 \sqrt{\frac{r-r_h}{f'(r_h)}}; \quad \theta = \frac{t_e}{2} f'(r_h)$$

$$\Rightarrow ds^2 \approx \rho^2 d\theta^2 + d\rho^2 + r_h^2 d\Sigma_2^2 + \dots \quad (\text{X1})$$

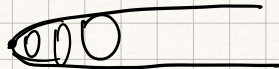
$\underbrace{\hspace{10em}}$   
 This is like the plane  
 in polar coordinates if  $\theta$  has  
 the right periodicity.

If  $\theta$  does not have period  $2\pi \Rightarrow$  conical singularity

$$\Rightarrow \theta \sim \theta + 2\pi$$

$$t_E \sim t_E + \beta = \frac{4\pi}{f'(r_h)} = \frac{1}{T}$$

$\Rightarrow$  so the geometry ends smoothly at  $\rho = 0$   
 $\underbrace{\hspace{10em}}$   
 $r = r_h$



The cylinder is the  $\rightarrow$  only sees up to  $\rightarrow$  and has a  
 Euclidean BH the horizon thermal cycle  
 that is contractible

$\Rightarrow$  the hyperbolic disk is the  $AdS_2$  version of this  
 and the thermal cycle reduces smoothly to zero  
 at the center of the disk  $\Rightarrow$  the solution  
 we found is a Euclidean BH solution.

## 2.3 Thermodynamics

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\* On-shell action gives the partition function of the bdy theory at finite temperature

$$Z(\beta) = e^{-S_{\text{grav}}}$$

But this is very simple to evaluate at the Saddle point:

$$S_{\text{grav}} = S_{\text{sch}} = -\frac{1}{8\pi G_N} \int_0^\beta du \tilde{\Phi}(u) \left( S(\tau, u) + \frac{1}{2} \tau'(u)^2 \right)$$

$$\text{But } \tau(u) = \frac{2\pi u}{\beta}$$

$$\Rightarrow S_{\text{sch}} = -\frac{\tilde{\Phi}}{8\pi G_N} \int_0^\beta du \left( \frac{2\pi}{\beta} \right)^2 \cdot 1 = -\frac{4\pi^2 \tilde{\Phi}}{8\pi G_N} \frac{1}{\beta}$$

$\Rightarrow$  Including the topological term:

$$S_{\text{grav}} = -S_0 - c/\beta$$

$\Rightarrow$  Now we identify  $\log Z \equiv -\beta F$

$$\Rightarrow S_{\text{th}} = (1 - \beta \partial_\beta) \log Z = S_0 + c/\beta$$

$$c(\beta) \equiv \beta^2 \partial_\beta^2 \log Z = c/\beta$$

$\Rightarrow$  We recover the linear in  $T$  behaviour,

Note this is only possible due to the

appearance of  $\phi$ : dimensional parameter!

## 2.4 An action for the fluctuations

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When can ask what happens if we perturb a little away from the saddle (assume  $\beta=2\pi$ )

$$\Rightarrow \tau(u) = u + \epsilon(u) \quad w/ \quad \epsilon \ll 1$$

$$\Rightarrow S(z, u) + \frac{\tau'^2}{2} = \frac{1}{2} + \epsilon' + \epsilon'' + \left( \frac{1}{2} \epsilon'^2 - \frac{1}{2} \epsilon''^2 - (\epsilon'' \epsilon')' \right) + O(\epsilon^3)$$

↓  
TOT. DER.

$$\Rightarrow \boxed{\mathbb{I}_{Sch} = \frac{c}{2} \int_0^{2\pi} du (\epsilon''^2 - \epsilon'^2)}$$

Now we go to Fourier space  $\epsilon(u) = \sum_{n \in \mathbb{Z}} \epsilon_n e^{inu}$

$$\Rightarrow \mathbb{I}_{Sch} = \frac{c}{2} \sum_{n \in \mathbb{Z}} (n^4 - n^2) \epsilon_n \epsilon_{-n}$$

There are 3 zero modes  $n=0, \pm 1 \Rightarrow$  non-invertible  
But they are the 3  $SL(2, \mathbb{R}) \Rightarrow$  not physical so we don't integrate over them.

$\Rightarrow$  the propagator we get is:

$$\langle \epsilon(u) \epsilon(0) \rangle = \sum_{n \neq 0, \pm 1} \frac{e^{inu}}{n^2(n^2-1)} \rightarrow$$

in 2 weeks we will use it to compute the 4pt function

2.5

## SUMMARY

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- (a) We studied ST gravity
- (b) It becomes a hdy theory of reparametrisations w/ a Schwarzen action.
- (c) We found saddle points of this action at finite temperature.
- (d) the thermodynamics for those saddles gives a linear-in-T specific heat as expected from near-extremal bh's.

NEXT: Will see how this same pattern appears in a theory of quantum mechanics called SYK.

## HOMEWORK PROBLEMS:

- (1) Find the equation  $T_{uv}^{\phi} = 0$  in Poincaré coordinates and verify that  $\phi = \frac{\alpha + \beta t + \delta(t^2 + z^2)}{z}$  is the most general solution.
- (2) For a curve  $\mathcal{C} = (t(u), z(u))$  in Poincaré coordinates, show that  
(maybe Mathematica can help w/ that)

$$K = \frac{t'(t'^2 + z'^2 + z'z'') - z z' t''}{(t'^2 + z'^2)^{3/2}}$$

③ Verify that for a curve "close" to the boundary of  $AdS_2$ , this reduces to  $K = 1 + \epsilon^2 \text{Sch}(t(u), u)$ , where  $\epsilon \ll 1$  is the same small parameter as in the notes.

④ Convince yourself that if  $ad - bc = 1$ , then

$$\text{Sch}(t(u), u) = \text{Sch}\left(\frac{at(u)+b}{ct(u)+d}, u\right)$$

⑤ Expand the Schwarzian around the solution  $t(u) = u$  ( $t(u) = u + \epsilon(u)$ ) and find that to quadratic order and up to total derivatives, it gives:

$$\text{Sch}(u + \epsilon(u), u) = (\epsilon'^2 - \epsilon'^2) + \mathcal{O}(\epsilon^3)$$